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Unless explicitly requested by a problem, do not include sketches as part of your proof. You are free to use the result from any problem on this (or previous) assignment as a part of your solution to a different problem even if you have not solved the former problem.

**Problem 1** (2pts). Let  $G$  be any graph. Prove that

$$|E(G)| \geq \binom{\chi(G)}{2}.$$

**Solution.** [#1] Set  $t = \chi(G)$  and let  $H$  be a  $t$ -critical subgraph of  $G$ . We know that  $\delta(H) \geq t-1$ , which also implies that  $|V(H)| \geq t$ . Applying the handshaking lemma to  $H$ , we thus bound

$$|E(G)| \geq |E(H)| = \frac{1}{2} \sum_{v \in V(H)} \deg_H v \geq \frac{1}{2} \sum_{v \in V(H)} (t-1) = \frac{1}{2} |V(H)| (t-1) \geq \frac{t(t-1)}{2} = \binom{t}{2}.$$

□

**Solution.** [#2] By definition, we can partition  $V(G) = A_1 \sqcup \cdots \sqcup A_{\chi(G)}$  where each  $A_i$  is an independent set. We claim that, for every  $i \neq j \in [\chi(G)]$ , there is some edge between  $A_i$  and  $A_j$ . Indeed, if there were no such edge, then  $A_i \cup A_j$  would also be an independent set of  $G$ . But then, we could partition  $V(G)$  into  $\chi(G) - 1$  independent sets by merging  $A_i$  and  $A_j$ ; hence contradicting the definition of  $\chi(G)$ .

Thus, there is an edge between every pair of these independent sets, which yields at least  $\binom{\chi(G)}{2}$  many edges of  $G$  since these sets are disjoint. □

**Problem 2** (1pt). Generalize Theorem 1 from 04-07:

Let  $G = (V, E)$  be any graph and consider coloring the edges of  $G$  red and blue (formally, we have a function  $f: E \rightarrow \{\text{red}, \text{blue}\}$ ). Let  $G_r$  be the graph formed by the red edges and let  $G_b$  be the graph formed by the blue edges (formally,  $G_r = (V, f^{-1}(\text{red}))$  and  $G_b = (V, f^{-1}(\text{blue}))$ ). Prove that

$$\chi(G_r) \cdot \chi(G_b) \geq \chi(G).$$

**Solution.** Let  $f_r: V \rightarrow [\chi(G_r)]$  be a proper coloring of  $G_r$  and let  $f_b: V \rightarrow [\chi(G_b)]$  be a proper coloring of  $G_b$ . Define the coloring

$$f: V \rightarrow [\chi(G_r)] \times [\chi(G_b)], \quad \text{where} \quad f(x) = (f_r(x), f_b(x)),$$

which is a  $\chi(G_r)\chi(G_b)$ -coloring of  $G$ . We claim that  $f$  is a proper coloring of  $G$ , which will imply the claim. Indeed, fix any edge  $xy \in E(G)$ .

Case 1:  $xy$  is red, so  $xy \in E(G_r)$ . Then  $f_r(x) \neq f_r(y)$  since  $f_r$  is a proper coloring of  $G_r$ . Thus,  $f(x) \neq f(y)$ .

Case 2:  $xy$  is blue, so  $xy \in E(G_b)$ . Then  $f_b(x) \neq f_b(y)$  since  $f_b$  is a proper coloring of  $G_b$ . Thus  $f(x) \neq f(y)$ . □

**Problem 3** (1pt). For every pair of positive integers  $m, n$ , construct a graph  $G$  with the following properties:

- $G$  has  $m \cdot n$  many vertices, and
- $\chi(G) = m$ , and
- $\chi(\overline{G}) = n$ .

**Solution.** Set  $G = K_{\underbrace{n, \dots, n}_m}$ , which has  $mn$  many vertices. Certainly  $G$  is  $m$ -partite and so  $\chi(G) \leq m$ . Furthermore,  $G$  contains a copy of  $K_m$  (picking one vertex from each cluster) and so  $\chi(G) = m$ .

On the other hand,  $\overline{G}$  is the disjoint union of  $m$  many copies of  $K_n$ . Since  $\chi(K_n) = n$ , this tells us that  $\chi(\overline{G}) = n$ .  $\square$

**Problem 4** (2pts). Prove that  $G$  is 3-critical if and only if  $G \cong C_{2n+1}$  for some positive integer  $n$ .

**Solution.** ( $\Leftarrow$ ) We already know that  $\chi(C_{2n+1}) = 3$ . Furthermore, if  $H$  is any proper subgraph of  $C_{2n+1}$ , then  $H$  cannot contain *any* cycle, let alone an odd one (since the only cycle in  $C_{2n+1}$  is itself). Thus,  $H$  is bipartite and so  $\chi(H) \leq 2$ . We conclude that  $C_{2n+1}$  is 3-critical.

( $\Rightarrow$ ) Since  $\chi(G) = 3$ , we know that  $G$  is not bipartite. Thus,  $G$  must contain a copy of  $C_{2n+1}$  for some positive integer  $n$ ; let  $H$  denote such a subgraph which is isomorphic to  $C_{2n+1}$ . If  $G \neq H$ , then  $H$  is a proper subgraph of  $G$ . But since  $H \cong C_{2n+1}$ , we have  $\chi(H) = 3$ ; contradicting the fact that  $G$  is 3-critical. Thus,  $G = H \cong C_{2n+1}$  as needed.  $\square$

**Problem 5** (2pts). Fix any integer  $k \geq 1$ . Prove that if  $G$  is an  $n$ -vertex graph wherein

$$\chi(G[N(v)]) \leq k, \quad \text{for every } v \in V(G),$$

then  $\chi(G) \leq \sqrt{2kn}$ .

(Hint: Take motivation from our proof that  $\chi(G) \leq \sqrt{2n}$  if  $G$  is triangle-free. Be warned, though, there are a couple steps which require more care.)

(Hint: If  $0 \leq x \leq y$ , then  $x \leq \sqrt{xy}$ .)

**Solution.** We prove the claim by induction on  $n$ .

If  $n \leq 2k$ , then  $\chi(G) \leq n \leq \sqrt{2kn}$  as needed. Thus, suppose that  $n \geq 2k + 1$ .

If  $\Delta(G) \leq \sqrt{2kn} - 1$ , then  $\chi(G) \leq \Delta(G) + 1 \leq \sqrt{2kn}$  and we are done, so we may suppose that  $\Delta(G) > \sqrt{2kn} - 1$ . Fix any  $v \in V(G)$  with  $\deg v = \Delta(G) > \sqrt{2kn} - 1$ .

If  $V(G) = \{v\} \sqcup N(v)$ , then we claim that  $\chi(G) \leq 1 + k$ . Indeed,  $\chi(G[N(v)]) \leq k$  by assumption so we can find a  $k$ -coloring  $f: N(v) \rightarrow [k]$  which is a proper coloring of  $G[N(v)]$ . Then we may give  $v$  color  $k + 1$  to form a proper  $(k + 1)$ -coloring of  $G$ , so  $\chi(G) \leq 1 + k$ . Now, since  $k \geq 1$  and  $n \geq 2k$ , we bound  $\chi(G) \leq 1 + k \leq 2k \leq \sqrt{2kn}$  as needed.

Thus, suppose that  $V(G) \neq \{v\} \sqcup N(v)$  and set  $H = G - (\{v\} \sqcup N(v))$ . We claim that  $\chi(G) \leq k + \chi(H)$ .

Indeed, let  $A_1, \dots, A_{\chi(H)}$  be a partition of  $V(H)$  into  $\chi(H)$  many independent sets in  $H$ ;  $\chi(H) \geq 1$  since  $H$  has some vertices. Since  $H$  is an induced subgraph of  $G$ , each  $A_i$  is also an independent set in  $G$ . Now,  $v$  has no neighbors in  $H$ , so  $A_1 \cup \{v\}$  is also an independent set. Next,  $\chi(G[N(v)]) \leq k$  and so we can partition  $N(v)$  into  $B_1, \dots, B_k$  where each  $B_i$  is an independent set. All together,  $A_1 \cup \{v\}, A_2, \dots, A_{\chi(H)}, B_1, \dots, B_k$  is a partition of  $V(G)$  into  $\chi(H) + k$  many independent sets and so  $\chi(G) \leq k + \chi(H)$  as claimed.

Now, certainly  $H$  also satisfies the hypotheses of the theorem since chromatic numbers can only decrease under taking subgraphs. Thus, since  $|V(H)| = n - \deg v - 1 < n$ , the induction hypothesis tells us that

$$\chi(H) \leq \sqrt{2k(n - \deg v - 1)} < \sqrt{2k(n - \sqrt{2kn})},$$

since  $\deg v > \sqrt{2kn} - 1$ . Therefore, since  $n \geq 2k$ ,

$$\begin{aligned} \chi(G) &\leq k + \chi(H) < k + \sqrt{2k(n - \sqrt{2kn})} < k + \sqrt{2kn - 2k\sqrt{2kn} + k^2} \\ &= k + \sqrt{(\sqrt{2kn} - k)^2} = \sqrt{2kn}. \end{aligned}$$

□

**Problem 6** (2pts). For graphs  $G, H$ , the graph  $G$  is said to be  $H$ -free if  $G$  does not contain a copy of  $H$ .

Fix any integer  $k \geq 3$ . Prove that if  $G$  is a  $C_k$ -free graph on  $n$  vertices, then  $\chi(G) \leq \sqrt{2(k-2)n}$ . (Hint: Problem 5)

**Solution.** Fix any  $v \in V(G)$ , thanks to Problem 5, it suffices to prove that  $\chi(G[N(v)]) \leq k - 2$ . Suppose for the sake of contradiction that  $\chi(G[N(v)]) \geq k - 1$ . Then, thanks to Theorem 2 from 04-12, we know that  $G[N(v)]$  contains a copy of every tree on  $k - 1$  many vertices. In particular, it contains a path on  $k - 1 \geq 2$  many vertices, label such a path  $(v_1, \dots, v_{k-1})$  where  $v_i \in N(v)$  for all  $i \in [k - 1]$ . Since  $v$  is adjacent to all of the  $v_i$ 's, it is, in particular, adjacent to  $v_1$  and  $v_{k-1}$ . But then, since  $v \notin N(v)$ , we find that  $(v_1, \dots, v_{k-1}, v)$  forms a cycle of length  $k$  in  $G$ ; a contradiction since  $G$  is assumed to be  $C_k$ -free. □